

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

Department of the Treasury Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2002 calendar year, or tax year beginning Jul 1, 2002, and ending Jun 30, 2003

- B Check if applicable: Address change, Name change, Initial return, Final return, Amended return, Application pending

C Name of organization: NATIONAL ALLIANCE FOR AUTISM RESEARCH, Inc. Address: 99 WALL STREET - RESEARCH PARK, PRINCETON NJ 08540

D Employer Identification Number: 04-3246763 E Telephone number: (609) 430-9160 F Accounting method: Accrual

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

- H (a) Is this a group return for affiliates? No
H (b) If 'Yes,' enter number of affiliates.
H (c) Are all affiliates included? No
H (d) Is this a separate return filed by an organization covered by a group ruling? No
I Enter 4-digit GEN
M Check if the organization is not required to attach Schedule B

G Web site: www.naar.org

J Organization type: 501(c) 3

K Check here if the organization's gross receipts are normally not more than \$25,000.

L Gross receipts: Add lines 6b, 8b, 9b, and 10b to line 12. 8,081,796.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Instructions)

Table with 21 rows for Revenue, Expenses, and Assets. Includes sub-rows for public support, program revenue, investments, sales, and fundraising. Total revenue: 7,939,205. Total expenses: 8,764,006. Net assets at end of year: 2,017,218.

Part II Statement of Functional Expenses All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22	Grants and allocations (att sch) (cash \$ 4,725,279. non-cash \$ _____)	4,725,279.	4,725,279.		
23	Specific assistance to individuals (att sch)				
24	Benefits paid to or for members (att sch)				
25	Compensation of officers, directors, etc	429,134.	236,996.	92,499.	99,639.
26	Other salaries and wages	763,446.	373,018.	87,028.	303,400.
27	Pension plan contributions	14,820.	7,582.	2,231.	5,007.
28	Other employee benefits	108,422.	55,459.	16,321.	36,642.
29	Payroll taxes	95,892.	49,050.	14,435.	32,407.
30	Professional fundraising fees				
31	Accounting fees	21,404.	7,484.	5,614.	8,306.
32	Legal fees	52,526.	52,526.	0.	0.
33	Supplies	62,994.	24,326.	7,816.	30,852.
34	Telephone	104,465.	45,643.	17,056.	41,766.
35	Postage and shipping	201,871.	80,648.	5,723.	115,500.
36	Occupancy	116,771.	37,046.	22,193.	57,532.
37	Equipment rental and maintenance	122,493.	42,850.	12,869.	66,774.
38	Printing and publications	376,190.	166,502.	2,974.	206,714.
39	Travel	192,158.	128,642.	6,127.	57,389.
40	Conferences, conventions, and meetings	120,178.	103,746.	3,254.	13,178.
41	Interest				
42	Depreciation, depletion, etc (attach schedule)	22,940.	7,646.	7,647.	7,647.
43	Other expenses not covered above (itemize):				
a	Meals & Lodging	63,434.	49,833.	4,345.	9,256.
b	Catering & Entertainment	172,487.	96,426.	3,103.	72,958.
c	Computer Programing	278,242.	195,082.	8,078.	75,082.
d	Filing Fees	29,630.	7,649.	4,833.	17,148.
e	SEE STATEMENT # 5	689,230.	355,077.	23,856.	310,297.
44	Total functional expenses (add lines 22 - 43). Organizations completing columns (B) - (D), carry these totals to lines 13 - 15	8,764,006.	6,848,510.	348,002.	1,567,494.

Joint Costs. Check if you are following SOP 98-2.
 Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? Yes No
 If 'Yes,' enter (i) the aggregate amount of these joint costs \$ 1,082,042. ; (ii) the amount allocated to program services \$ 324,613. ; (iii) the amount allocated to management and general \$ 0. ; and (iv) the amount allocated to fundraising \$ 757,429. .

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? <u>STIMULATE & ADVANCE MEDICAL RESEARCH INTO AUTISM</u> All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) & (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants & allocations to others.)	Program Service Expenses (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts; but optional for others.)
a SEE STATEMENT # 6 (Grants and allocations \$ 3,631,731.)	4,618,482.
b SEE STATEMENT # 6 (Grants and allocations \$ 1,038,000.)	1,320,027.
c SEE STATEMENT # 6 (Grants and allocations \$ 0.)	434,202.
d SEE STATEMENT # 6 (Grants and allocations \$ 55,548.)	387,350.
e Other program services. . SEE. STATEMENT # .6. (Grants and allocations \$ 0.)	88,449.
f Total of Program Service Expenses (should equal line 44, column (B), program services)	6,848,510.

Part IV Balance Sheets (See Instructions)

Note: Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

		(A) Beginning of year		(B) End of year	
ASSETS	45 Cash — non-interest-bearing	549,184.	45	521,795.	
	46 Savings and temporary cash investments	4,505,881.	46	5,082,036.	
	47 a Accounts receivable	47 a			
	b Less: allowance for doubtful accounts	47 b	47 c		
	48 a Pledges receivable	48 a 652,747.			
	b Less: allowance for doubtful accounts	48 b 92,600.	852,360.	48 c	560,147.
	49 Grants receivable			49	
	50 Receivables from officers, directors, trustees, and key employees (attach schedule)			50	
	51 a Other notes & loans receivable (attach sch)	51 a			
	b Less: allowance for doubtful accounts	51 b		51 c	
	52 Inventories for sale or use			52	
	53 Prepaid expenses and deferred charges		5,364.	53	13,113.
	54 Investments — securities (attach schedule)			54	
	55 a Investments — land, buildings, & equipment: basis	55 a			
	b Less: accumulated depreciation (attach schedule)	55 b		55 c	
56 Investments — other (attach schedule)		440,972.	56	189,467.	
57 a Land, buildings, and equipment: basis	57 a 127,748.				
b Less: accumulated depreciation (attach schedule)	57 b 104,380.	31,745.	57 c	23,368.	
58 Other assets (describe ► SECURITY DEPOSITS)		2,260.	58	6,550.	
59 Total assets (add lines 45 through 58) (must equal line 74)		6,387,766.	59	6,396,476.	
LIABILITIES	60 Accounts payable and accrued expenses	290,804.	60	265,113.	
	61 Grants payable	3,257,069.	61	4,114,145.	
	62 Deferred revenue		62		
	63 Loans from officers, directors, trustees, and key employees (attach schedule)		63		
	64 a Tax-exempt bond liabilities (attach schedule)		64 a		
	b Mortgages and other notes payable (attach schedule)		64 b		
	65 Other liabilities (describe ►)		65		
	66 Total liabilities (add lines 60 through 65)		3,547,873.	66	4,379,258.
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74.				
	67 Unrestricted	2,445,935.	67	1,870,678.	
	68 Temporarily restricted	393,958.	68	146,540.	
	69 Permanently restricted		69		
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74.				
	70 Capital stock, trust principal, or current funds		70		
	71 Paid-in or capital surplus, or land, building, and equipment fund		71		
	72 Retained earnings, endowment, accumulated income, or other funds		72		
73 Total net assets or fund balances (add lines 67 through 69 or lines 70 through 72; column (A) must equal line 19; column (B) must equal line 21)		2,839,893.	73	2,017,218.	
74 Total liabilities and net assets/fund balances (add lines 66 and 73)		6,387,766.	74	6,396,476.	

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part VI Other Information (See instructions.)

Yes No

76	Did the organization engage in any activity not previously reported to the IRS? If 'Yes,' attach a detailed description of each activity	76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If 'Yes,' attach a conformed copy of the changes.	77		X
78 a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	78 a		X
b	If 'Yes,' has it filed a tax return on Form 990-T for this year?	78 b		
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If 'Yes,' attach a statement	79		X
80 a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc. to any other exempt or nonexempt organization?	80 a		X
b	If 'Yes,' enter the name of the organization ▶ _____ and check whether it is <input type="checkbox"/> exempt or <input type="checkbox"/> nonexempt.			
81 a	Enter direct or indirect political expenditures. See line 81 instructions	81 a	0.	
b	Did the organization file Form 1120-POL for this year?	81 b		X
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82 a		X
b	If 'Yes,' you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.)	82 b		
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83 a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83 b	X	
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?	84 a		X
b	If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84 b		
85	501(c)(4), (5), or (6) organizations. a Were substantially all dues nondeductible by members?	85 a		
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less? If 'Yes' was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.	85 b		
c	Dues, assessments, and similar amounts from members	85 c		
d	Section 162(e) lobbying and political expenditures	85 d		
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices.	85 e		
f	Taxable amount of lobbying and political expenditures (line 85d less 85e).	85 f		
g	Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	85 g		
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85 h		
86	501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on line 12	86 a		
b	Gross receipts, included on line 12, for public use of club facilities	86 b		
87	501(c)(12) organizations. Enter: a Gross income from members or shareholders.	87 a		
b	Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)	87 b		
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Part IX	88		X
89 a	501(c)(3) organizations. Enter: Amount of tax imposed on the organization during the year under: section 4911 ▶ 0. ; section 4912 ▶ 0. ; section 4955 ▶ 0.			
b	501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If 'Yes,' attach a statement explaining each transaction	89 b		X
c	Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ 0.			
d	Enter: Amount of tax on line 89c, above, reimbursed by the organization ▶ 0.			
90 a	List the states with which a copy of this return is filed ▶ <u>AZ, AK, CA, CT, FL, GA, IL, IN, KS, KY, MD, MA, ME, MI, NJ, NY, NC, OH, OK, OR, PA, SC, TN, VA, WA, WV, WI</u>			
b	Number of employees employed in the pay period that includes March 12, 2002 (See instructions.)	90 b		17
91	The books are in care of ▶ <u>RICHARD J. STAPLETON</u> Telephone number ▶ <u>(609) 430-9160</u> Located at ▶ <u>99 WALL STREET - RESEARCH PARK, PRINCETON NJ</u> ZIP + 4 ▶ <u>08540</u>			
92	Section 4947(a)(1) nonexempt charitable trusts filling Form 990 in lieu of Form 1041 - Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 92			

Part VII Analysis of Income-Producing Activities (See instructions.)

Note: Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f Medicare/Medicaid payments					
g Fees & contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings & temporary cash invmnts.					
96 Dividends & interest from securities			14	51,587.	
97 Net rental income or (loss) from real estate:					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from pers prop					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	-178.	
101 Net income or (loss) from special events			01	-69,966.	
102 Gross profit or (loss) from sales of inventory					
103 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
104 Subtotal (add columns (B), (D), and (E))				-18,557.	
105 Total (add line 104, columns (B), (D), and (E))					-18,557.

Note: Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See instructions.)

Line No. ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See instructions.) N/A

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See instructions.)

- a** Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b** Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Note: If 'Yes' to (b), file Form 8870 and Form 4720 (see instructions).

Please Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of officer: _____ Date: _____

MARK J. KRINSKY - TREASURER
Type or print name and title

Paid Preparer's Use Only

Preparer's signature: _____ Date: _____

Check if self-employed:

Preparer's SSN or PTIN (see General Instruction W): _____

Non-Paid Preparer

Firm's name (or yours if self-employed): _____

Address, and ZIP + 4: _____

EIN: _____

Phone no.: _____

SCHEDULE A
(Form 990 or 990-EZ)

Organization Exempt Under
Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information — (See separate instructions.)

2002

Department of the Treasury
Internal Revenue Service

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ.**

Name of the organization NATIONAL ALLIANCE FOR AUTISM RESEARCH, Inc.	Employer identification number 04-3246763
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Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See instructions. List each one. If there are none, enter 'None.')

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowances
<u>LISA GALLIPOLI</u> 99 WALL STREET - RESEARCH PARK, PRINCETON, NJ 08540	NATIONAL WALK DIRECTOR 40	83,807.	15,399.	0.
<u>JANE PICKETT</u> 99 WALL STREET - RESEARCH PARK, PRINCETON, NJ 08540	ATP DIRECTOR 40	75,000.	13,781.	0.
<u>Joe Guzzardo</u> 99 WALL STREET - RESEARCH PARK, PRINCETON, NJ 08540	Director, Communications 40	63,525.	11,673.	0.
----- ----- -----				
Total number of other employees paid over \$50,000 ▶		NONE		

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See instructions. List each one (whether individuals or firms). If there are none, enter 'None.')

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
<u>Capitol Associates, Inc</u> 426 C Street, N. E. Washington, DC 20002	Government Relations	106,555.
<u>Widmeyer Communications</u> 1825 Connecticut Avenue, NW, Washington DC 2009	Public Relations	50,300.
----- ----- -----		
Total number of others receiving over \$50,000 for professional services ▶		NONE

Part III Statements About Activities (See instructions.)

Yes No

1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If 'Yes,' enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ 131,555.

(Must equal amounts on line 38, Part VI-A, or line i of Part VI-B.)

1 X

Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking 'Yes,' must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.

2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is 'Yes,' attach a detailed statement explaining the transactions.)

a Sale, exchange, or leasing of property?

2 a X

b Lending of money or other extension of credit?

2 b X

c Furnishing of goods, services, or facilities?

SEE STATEMENT # 10

2 c X

d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?

2 d X

e Transfer of any part of its income or assets?

2 e X

3 Does the organization make grants for scholarships, fellowships, student loans, etc? (See Note below.)

3 X

4 Do you have a section 403(b) annuity plan for your employees?

4 X

Note: Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs 'qualify' to receive payments.

Part IV Reason for Non-Private Foundation Status (See instructions.)

The organization is not a private foundation because it is: (Please check only **ONE** applicable box.)

5 A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).

6 A school. Section 170(b)(1)(A)(ii). (Also complete Part V.)

7 A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).

8 A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).

9 A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state ▶ _____

10 An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)

11 a An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

11 b A community trust. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

12 An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc, functions – subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A.)

13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in: (1) lines 5 through 12 above; or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations. (See instructions.)

(a) Name(s) of supported organization(s)	(b) Line number from above

14 An organization organized and operated to test for public safety. Section 509(a)(4). (See instructions.)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12.) **Use cash method of accounting.**

Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in) ▶	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
15 Gifts, grants, and contributions received. (Do not include unusual grants. See line 28.)	6,406,982.	4,456,213.	2,507,776.	1,457,936.	14,828,907.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc, purpose	52,606.	23,441.	18,958.	35,953.	130,958.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	85,064.	122,074.	59,838.	34,516.	301,492.
19 Net income from unrelated business activities not included in line 18.					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.	0.	1,905.	1,359.		3,264.
23 Total of lines 15 through 22.	6,544,652.	4,603,633.	2,587,931.	1,528,405.	15,264,621.
24 Line 23 minus line 17.	6,492,046.	4,580,192.	2,568,973.	1,492,452.	15,133,663.
25 Enter 1% of line 23.	65,447.	46,036.	25,879.	15,284.	
26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24 ▶					26 a 302,673.
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1998 through 2001 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts. ▶					26 b 197,327.
c Total support for section 509(a)(1) test: Enter line 24, column (e) ▶					26 c 15,133,663.
d Add: Amounts from column (e) for lines: 18 301,492. 19 _____ 22 3,264. 26 b 197,327. ▶					26 d 502,083.
e Public support (line 26c minus line 26d total) ▶					26 e 14,631,580.
f Public support percentage (line 26e (numerator) divided by line 26c (denominator)). ▶					26 f 96.68 %
27 Organizations described on line 12:					
a For amounts included in lines 15, 16, and 17 that were received from a 'disqualified person,' prepare a list for your records to show the name of, and total amounts received in each year from, each 'disqualified person.' Do not file this list with your return. Enter the sum of such amounts for each year: (2001) _____ (2000) _____ (1999) _____ (1998) _____					
b For any amount included in line 17 that was received from each person (other than 'disqualified persons'), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: (2001) _____ (2000) _____ (1999) _____ (1998) _____					
c Add: Amounts from column (e) for lines: 15 _____ 16 _____ 17 _____ 20 _____ 21 _____ . . . ▶					27 c _____
d Add: Line 27a total . . . and line 27b total ▶					27 d _____
e Public support (line 27c total minus line 27d total). ▶					27 e _____
f Total support for section 509(a)(2) test: Enter amount from line 23, column (e) ▶					27 f _____
g Public support percentage (line 27e (numerator) divided by line 27f (denominator)). ▶					27 g _____ %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)). ▶					27 h _____ %

28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 1998 through 2001, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. **Do not file this list with your return.** Do not include these grants in line 15.

Part V Private School Questionnaire (See instructions.)
 (To be completed ONLY by schools that checked the box on line 6 in Part IV)

N/A

		Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If 'Yes,' please describe; if 'No,' please explain. (If you need more space, attach a separate statement.) ----- ----- -----		
32	Does the organization maintain the following:		
	a Records indicating the racial composition of the student body, faculty, and administrative staff?		
	b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
	c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
	d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered 'No' to any of the above, please explain. (If you need more space, attach a separate statement.) ----- -----		
33	Does the organization discriminate by race in any way with respect to:		
	a Students' rights or privileges?		
	b Admissions policies?		
	c Employment of faculty or administrative staff?		
	d Scholarships or other financial assistance?		
	e Educational policies?		
	f Use of facilities?		
	g Athletic programs?		
	h Other extracurricular activities? If you answered 'Yes' to any of the above, please explain. (If you need more space, attach a separate statement.) ----- -----		
34 a	Does the organization receive any financial aid or assistance from a governmental agency?		
	b Has the organization's right to such aid ever been revoked or suspended? If you answered 'Yes' to either 34a or b, please explain using an attached statement.		
35	Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev Proc 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If 'No,' attach an explanation.		

Part VI-A Lobbying Expenditures by Electing Public Charities (See instructions.)
 (To be completed **ONLY** by an eligible organization that filed Form 5768)

N/A

Check **a** if the organization belongs to an affiliated group. Check **b** if you checked 'a' and 'limited control' provisions apply.

Limits on Lobbying Expenditures

(The term 'expenditures' means amounts paid or incurred.)

		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	
37	Total lobbying expenditures to influence a legislative body (direct lobbying)	37	
38	Total lobbying expenditures (add lines 36 and 37)	38	
39	Other exempt purpose expenditures	39	
40	Total exempt purpose expenditures (add lines 38 and 39)	40	
41	Lobbying nontaxable amount. Enter the amount from the following table — If the amount on line 40 is — The lobbying nontaxable amount is — Not over \$500,000 20% of the amount on line 40 Over \$500,000 but not over \$1,000,000 \$100,000 plus 15% of the excess over \$500,000 Over \$1,000,000 but not over \$1,500,000 \$175,000 plus 10% of the excess over \$1,000,000 Over \$1,500,000 but not over \$17,000,000 \$225,000 plus 5% of the excess over \$1,500,000 Over \$17,000,000 \$1,000,000	41	
42	Grassroots nontaxable amount (enter 25% of line 41)	42	
43	Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36	43	
44	Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38	44	
Caution: If there is an amount on either line 43 or line 44, you must file Form 4720.			

4 -Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.
 See the instructions for lines 45 through 50.)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2002	(b) 2001	(c) 2000	(d) 1999	(e) Total
45 Lobbying nontaxable amount					
46 Lobbying ceiling amount (150% of line 45(e))					
47 Total lobbying expenditures					
48 Grassroots non-taxable amount					
49 Grassroots ceiling amount (150% of line 48(e))					
50 Grassroots lobbying expenditures					

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See instructions.)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:

- a** Volunteers
- b** Paid staff or management (Include compensation in expenses reported on lines **c** through **h**.)
- c** Media advertisements
- d** Mailings to members, legislators, or the public
- e** Publications, or published or broadcast statements
- f** Grants to other organizations for lobbying purposes.
- g** Direct contact with legislators, their staffs, government officials, or a legislative body
- h** Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
- i** Total lobbying expenditures (add lines **c** through **h**.)

Yes	No	Amount
X		
X		
X		869.
	X	
X		21,306.
X		106,555.
	X	
X		2,825.
		131,555.

If 'Yes' to any of the above, also attach a statement giving a detailed description of the lobbying activities.

NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.
 Form 990, Part I, Line 9 -- Net Income (Loss) from Special Events
 Fiscal year ending June 30, 2003

ID #04-3246763
Statement # 2

DESCRIPTION	GROSS RECEIPTS	CONTRIBUTIONS	GROSS REVENUE	DIRECT EXPENSES	NET INCOME (LOSS)
"2003 AWARDS DINNER HONORING BURTON TANSKY" NEW YORK, NY, 6/3/2003	509,603	447,224	62,379	109,507	(47,128)
Pittsburgh Golf Tournament 5/5/2003	69,691	69,691	-	22,838	(22,838)
Boston Marathon 4/21/2003	10,379	10,379	-	-	-
 "WALK FAR FOR NAAR" WALKATHON PROGRAM	 5,166,552	 5,166,552	 -	 -	 -
	<u>5,756,225</u>	<u>5,693,846</u>	<u>62,379</u>	<u>132,345</u>	<u>(69,966)</u>

NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.

Form 990, Part I, Line 20 - Other Changes in Net Assets or Fund Balances
Fiscal year ending June 30, 2003

ID #04-3246763
Statement # 3

Unrealized Gain on Investments	\$	2,126
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Total changes in net assets or fund balances PART I Line 20:	\$	<u><u>2,126</u></u>
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The NAAR Autism Pre-Doctoral Fellowship Award Recipients are:

Mentor: Jocelyne Bachevalier, Ph.D.
University of Texas - Houston
Houston, Texas
Year 2 of 2: \$47,000

Mentor: Simon Baron-Cohen, Ph.D.
Autism Research Centre
Cambridge, England
Year 2 of 2: \$25,000

Mentor: Deborah A. Fein, Ph.D.
University of Connecticut
Storrs, Connecticut
Year 2 of 2: \$27,000

Mentor: Susan Folstein, M.D.
New England Medical Center
Boston, Massachusetts
Year 2 of 2: \$25,000

Mentor: Ami Klin, Ph.D.
Yale University School of Medicine
New Haven, Connecticut
Year 2 of 2: \$40,000

Mentor: John Sweeney, Ph.D.
University of Illinois
Chicago, Illinois
Year 2 of 2: \$25,000

Mentor: Fred R. Volkmar, Ph.D. & Rhea Paul, Ph.D.
Yale University School of Medicine
New Haven, Connecticut
Year 2 of 2: \$21,000

Mentor: Elizabeth Simpson, Ph.D.
Centre for Molecular Medicine & Therapeutics,
Vancouver, British Columbia
Year 1 of 2: \$23,000

Mentor: James Sutcliffe, Ph.D.
Vanderbilt University,
Nashville, TN
Year 1 of 2: \$24,000

Mentor: Alice Carter, Ph.D.
University of Massachusetts,
Boston, MA
Year 1 of 2: \$24,000

Mentor: Craig Newschaffer, Ph.D.
Johns Hopkins School of Public Health,
Baltimore, MD
Year 1 of 2: \$23,000

Mentor: Jorge J. Prieto, M.D., Ph.D.
Universidad Miguel Hernandez,
San Juan de Alicante, Spain
Year 1 of 2: \$23,000

Mentor: Samuel Wang, Ph.D.
Princeton University,
Princeton, NJ
Year 1 of 2: \$24,000

The NAAR Autism Post-Doctoral Fellowship Award Recipients are:

Mentor: Pasko Rakic, M.D., Sc.D.
Yale University School of Medicine
New Haven, Connecticut
Year 2 of 2: \$55,000

Mentor: John L. R. Rubenstein, M.D., Ph.D.
University of California
San Francisco, California
Roland D. Ciaranello, M.D. Memorial Fellowship in Basic Research
Year 2 of 2: \$50,000

Mentor: Robert T. Schultz, Ph.D.
Yale University School of Medicine
New Haven, Connecticut
In honor of Marie Bristol-Power, M.D.
Year 2 of 2: \$40,000

Mentor: John Sweeney, Ph.D.
University of Illinois
Chicago, Illinois
Year 2 of 2: \$25,000

Mentor: Helen Tager-Flusberg, Ph.D.
Boston University School of Medicine
Boston, Massachusetts
Year 2 of 2: \$40,000

Mentor: Simon Baron-Cohen, Ph.D.
Cambridge University,
Cambridge, England
Year 1 of 2: \$45,000

Mentor: Jeffrey Hutsler, Ph.D.
University of Michigan,
Ann Arbor, MI
Year 1 of 2: \$47,000

Mentor: Michael Merzenich, Ph.D.

The University of California,
San Francisco, CA
Year 1 of 2: \$35,000

Mentor: Emanuel DiCicco-Bloom, M.D.

University of Medicine & Dentistry of New Jersey/Robert Wood Johnson Medical School,
Piscataway, NJ
Year 1 of 2: \$35,000

Mentor: Paul Bloom, Ph.D.

Yale University School of Medicine,
New Haven, CT
Year 1 of 2: \$35,000

Mentor: Carol Mason, Ph.D.

Columbia University - College of Physicians and Surgeons,
New York, NY
Year 1 of 2: \$45,000

Mentor: Wendy Stone, Ph.D.

Vanderbilt University,
Nashville, TN
Year 1 of 2: \$35,000

Autism Training Program

NAAR is collaborating with the Canadian Institute of Neurosciences, Mental Health and Addiction to co-sponsor a pair of six-year, interdisciplinary autism training programs, known as the "Training Programs in Autism Research" – the first of its kind in Canada to focus on autism.
Year 1 of 6: \$200,000

The NAAR Autism Research Grant Award Recipients are:

Schahram Akbarian, M.D., Ph.D.

University of Massachusetts Medical School
Worcester, Massachusetts

"Chromatin-Remodeling in Developing Prefrontal Cortex Implications for Autism"

Year 2 of 2: \$60,000.

Gillian Baird, M.D.

St. Thomas Hospital HNS & Guy's Hospital
London, England

"Is there Evidence of Antibodies Against Neural Tissue In Children with Autism?"

Year 2 of 2: \$59,313.

Pradeep G. Bhide, Ph.D.

Harvard Medical School/Massachusetts General Hospital
Charlestown, Massachusetts

"Dopamine & Brain Development: Implications for Autism Spectrum Disorders"

Year 2 of 2: \$60,000.

Susan Birren, Ph.D.

Brandeis University, Waltham, MA

"Regulation of Cortical Synaptogenesis by Basal Forebrain Cholinergic Neurons"

Year 1 of 2: \$60,000.

Research Partner: *Richard & Susan Smith Family Foundation*

Patrick Bolton, Ph.D.

The Institute of Psychiatry at King's College, London, England

"Speech & Language Impairments and Autism Spectrum Disorders: A Twin Study of the Links"

Year 1 of 2: \$59,612

Patrick Bolton, Ph.D.

The Institute of Psychiatry at King's College, London, England

"Event Related Potential & Behavioral Investigations of Face Processing in Individuals with Tuberous Sclerosis and Autism"

Year 1 of 2: \$55,847

Kenneth Campbell, Ph.D.

Children's Hospital Research Foundation, Cincinnati, OH

"Genetic Control of Mammalian Amygdala Development"

Year 1 of 2: \$60,000

Alice Carter, Ph.D.

University of Massachusetts, Boston, MA

"Maternal Sensitivity, Joint Attention and Gains in Language Acquisition in Toddlers Diagnosed with Autism"

Year 1 of 2: \$57,261

C. Susan Carter, Ph.D.

University of Illinois – Chicago

Chicago, Illinois

"Oxytocin & Autism: An Animal Model"

Year 2 of 2: \$60,000

Manuel Casanova, M.D.

Medical College of Georgia, Augusta, GA

"Macroscopic Correlates of Minicolumnar Abnormalities in Autism"

Year 1 of 2: \$60,000

Research Partner: *Nancy Lurie Marks Family Foundation*

Susan Christian, Ph.D.

University of Chicago, Chicago, IL

"Identifying Small Chromosomal Rearrangements in Autism Using Microarrays"

Year 1 of 2: \$59,406

Research Partner: *Autism Coalition for Research & Education & Solving the Mystery of Autism Foundation*

Antonio Conit, M.D.

New York University School of Medicine, New York, NY

"Social Cognition and Brain Volumes in Asperger Syndrome"

Year 1 of 2: \$56,430

Thomas Cook, Ph.D.

Rutgers University, Piscataway, NJ

"Placental Metabolism & Fatty Acid Homeostasis in Fetal Imprinting of Autism and Autism Spectrum Disorders"

Year 1 of 2: \$60,000

Michael Cuccaro, Ph.D.

Duke University Medical Center, Durham, NC

"Retrospective Association Analysis of Children with Idiopathic Autism Spectrum Disorders Treated with Fluoxetine"

Year 1 of 2: \$54,041

Mirella Dapretto, Ph.D.

University of California at Los Angeles, Los Angeles, CA

"Language & Prosody in Autism: Evidence from fMRI"

Year 1 of 2: \$60,000

Anna Dunaevsky, Ph.D.

Brown University

Providence, Rhode Island

"Synaptogenesis in Cerebellar Purkinje Cells of Normal & FMR1 Knockout Mice"

Year 2 of 2: \$60,000

Michelle Dunn, Ph.D.

Albert Einstein College of Medicine, Bronx, NY

"Understanding Cortical Auditory Processing Abnormalities in Children with Autism"

Year 1 of 2: \$59,956

Research Partner: *Nancy Lurie Marks Family Foundation*

Michelle Dunn, Ph.D.

Albert Einstein College of Medicine, Bronx, NY

"Mapping Lexical Organization in Children with Autism"

Year 1 of 2: \$59,956

Nicole Gage, Ph.D.

University of California at Irvine, Irvine, CA

"MEG Investigations of Cortical Auditory Processing in Children with Autism"

Year 1 of 2: \$58,070

H. Hill Goldsmith, Ph.D.

University of Wisconsin at Madison, Madison, WI

"A Birth Register-based Twin Study of Autism Spectrum Disorders"

Year 1 of 2: \$59,331

Judith K. Grether, Ph.D.

California Department of Health Services – Environmental Health Investigations

Oakland, California

"Epidemiology of Autism in Multiple Births"

Year 2 of 2: \$59,998

Eli Hatchwell, Ph.D.

Cold Spring Harbor Laboratory, Cold Spring Harbor, NY

"Genomic Copy Number Variation in Autism"

One-year award - \$60,000

Martha R. Herbert, M.D., Ph.D.

Massachusetts General Hospital

Boston, Massachusetts

"Neuroimaging of Young Children at High Risk for Autism"

Year 2 of 2: \$59,640

Karl Herrup, Ph.D.

Case Western Reserve University, Cleveland, OH

"The Engrailed-2 Mutant as a Model of the Neuropathology of Autism"

Year 1 of 2: \$60,000

Research Partner: *Autism Coalition for Research & Education*

Laura Hewitson, Ph.D.

University of Pittsburgh, Pittsburgh, PA

"Autism in Primates: Genetics vs. Environment"

Year 1 of 2: \$59,656

Jana Iverson, Ph.D.

University of Missouri at Columbia, Columbia, MO

"Early Identification of Autism: A Prospective Study"

Year 1 of 2: \$68,367

Yong-hui Jiang, M.D.

Baylor College of Medicine

Houston, Texas

"Epigenetics Alterations & Autism Susceptibility"

Year 2 of 2: \$56,925

Flavio Keller, M.D.

Universita, Rome, Italy

"Reelin as a Target of Interaction between Genotype & Environment in Autistic Disorder"

Year 2 of 2: \$51,900

Russell Margolis, M.D.

Johns Hopkins School of Medicine, Baltimore, MD

"Genetic Mutations Associated with Autism in Unexplored Regions of FOXP2"

One-year award - \$56,063

James Millonig, Ph.D.

University of Medicine & Dentistry of New Jersey/Robert Wood Johnson Medical School, Piscataway, NJ

"Studying Mouse Cerebellar Development as a Tool to Identify Autism Susceptibility Genes"

Year 1 of 2: \$60,000

Research Partner: *Autism Coalition for Research & Education*

Letitia R. Naigles, Ph.D.

University of Connecticut

Storrs, Connecticut

"The Development of Language Comprehension in Children with Autism: A Longitudinal Study Using the Intermodal Preferential Looking Program"

Year 2 of 2: \$53,235

Sherie Novotny, M.D.

Mt. Sinai School of Medicine, New York, NY

"Galantamine vs Placebo in Childhood & Adolescent Autism"

Year 1 of 2: \$59,263

Dennis D.M. O'Leary, Ph.D.

Salk Institute for Biological Studies

La Jolla, California

"Molecular Control of Inferior Olive Development – A Step Towards Understanding the Neuropathology of Autism"

Year 2 of 2: \$60,000

Opal Ousley, Ph.D. & Lisa A. Parr, Ph.D.

Emory University School of Medicine, Atlanta, GA

"Physiological Responsiveness to Social Interaction in Young Children with Autism"

Year 2 of 2: \$47,633

David L. Pauls, Ph.D.

Harvard Medical School/Massachusetts General Hospital

Charlestown, Massachusetts

"A Genetic Study of High-Functioning Autism and Asperger Syndrome in Finland"

Year 2 of 2: \$60,000

Research Partner: *In memory of Lisa Fenn Gordenstein*

Margaret A. Pericak-Vance, Ph.D.

Duke University Center for Human Genetics, Durham, NC

"Web-based Genetic Educational Efforts for Autism and Related Disorders."

Final Payment One-Year Award: \$22,000

Nicholas Ponzio, Ph.D.

UMDNJ – New Jersey Medical School

Newark, New Jersey

"Contribution of Immunological Mechanisms to Autism Spectrum Disorders"

Year 2 of 2: \$59,400

This project is dedicated: *In memory of Dr. Don C. Wiley*

Payam Rezaie, Ph.D.

The Open University, Milton Keynes, England

"Assessment of the Glial Response Within the Cerebral Cortex in Autism"

Year 1 of 2: \$63,583

Timothy Roberts, Ph.D.

University of Toronto, Toronto, Ontario

"MEG Correlates of Linguistic Processing at and Below the Word Level in Autism"

Year 1 of 2: \$59,959

Research Partner: *Nancy Lurie Marks Family Foundation*

Peter Scheiffele, Ph.D.

Columbia University, New York, NY

"Frequency & Functional Characterization of Neuroligin Mutations"

Year 1 of 2: \$59,994

Stephen Sheinkopf, Ph.D.

Brown Medical School, Providence, RI

"Vagal Tone & Social Behaviors in Children with Autistic Disorder"

Year 1 of 2: \$59,909

David Skuse, M.D., Ph.D.

Institute of Child Health

London, England

Eric Lander, Ph.D.

Whitehead Institute Center for Genome Research (MIT)

Cambridge, Massachusetts

"Exploring the Genetic Roots of Autism which focuses on a common phenotype expressed by people with Turner Syndrome and autism"

Final payment on 1 Year Award: \$240,200

Christoph Schmitz, M.D.

RWTH University at Aachen

Aachen, Germany

"Quantitative Analysis of Histologic Alterations in Cerebral Cortex, Cerebellum & Brainstem in Autism"

Year 2 of 2: \$28,920

Elise Temple, Ph.D.

Cornell University, Ithaca, NY

"Neural Mechanisms Underlying "Theory of Mind": fMRI Studies of Normally Developing and Autistic Children"

Year 1 of 2: \$61,958

Hugo Theoret, Ph.D.

Harvard Medical School/Israel Deaconess Medical Center

Boston, Massachusetts

"Motor Output & Mirror Cell Systems in Autism Studied by Transcranial Magnetic Stimulation"

Year 2 of 2: \$58,899

Poul Thorsen, M.D., Ph.D.

Aarhus University, Denmark

"Risk Factors for Neurodevelopmental Disorders: MMR Vaccine & Childhood"

Year 2 of 2: \$39,150

Poul Thorsen, M.D., Ph.D.

NANEA at Department of Epidemiology and Social Medicine/Aarhus University, Denmark

"Exposure to Pharmaceuticals in Pregnancy & Development of Autistic Disorder"

Year 1 of 2: \$59,227

Research Partner: *Autism Coalition for Research & Education*

Jochen Triesch, Ph.D.

University of California at San Diego, La Jolla, CA

"The MESA Project: Modeling the Emergence of Shared Attention"

Year 1 of 2: \$51,475

Michael Ullman, Ph.D.

Georgetown University, Washington, DC

"Neurocognitive Correlates of Language in Autism"

Year 1 of 2: \$58,960

Chandan J. Vaidya, Ph.D.

Children's National Medical Center/Children's Research Institute

Washington, D.C.

"Using Functional MRI to Examine Social & Non-social Attention regulation in Autism"

Year 2 of 2: \$51,082

Astrid Vicente, Ph.D.

Instituto Gulbenkian de Ciência

"Mitochondrial Dysfunction in Autism Spectrum Disorders"

One time Payment: \$5,000

Jerzy Wegiel, VMD, Ph.D.

New York State Institute for Basic Research in Developmental Disabilities

Staten Island, New York

"Clinicopathological Correlations in Autism"

Year 2 of 2: \$109,200

John Welsh, Ph.D.

Oregon Health & Science University, Portland, OR

"Inferior Olive & Autism: Electrical Synapses, Neuronal Synchrony & Cognition"

Year 1 of 2: \$50,096

Research Partner: *Nancy Lurie Marks Family Foundation*

Stephanie A. White, Ph.D.

University of California – Los Angeles

Los Angeles, California

"Inside & Outside the Critical Period: Neural Substrates for Vocal Learning"

Year 2 of 2: \$60,000

Justin Williams, M.B.B.S., MSc

University of Aberdeen, Aberdeen, England

"Functional Neuroimaging Studies of Action, Facial and Object-directed Imitation"

Year 1 of 2: \$106,885

Peter Zandi, Ph.D.

Johns Hopkins School of Public Health, Baltimore, MD

"Maternal-fetal Incompatibility and Autism Risk"

One-year award - \$52,928

Xiaoxi Zhuang, Ph.D.

University of Chicago, Chicago, IL

"Behavioral Effects of Hyper- and Hypo-Serotonergic Function in Transgenic Mouse Models"

Year 1 of 2: \$60,000

Lonnie Zwaigenbaum, M.D.

McMaster University, Hamilton, Ontario

"Investigating the Emergence of Familial Traits in Autism"

Year 1 of 2: \$61,002

Research Partner: *The Dan Marino Foundation*

Lonnie Zwaigenbaum, M.D., Susan Bryson, Ph.D., Peter Szatmari, M.D., Wendy Roberts, M.D.

McMaster University, Hamilton, Ontario (Canada)

"Identifying Early Markers of Autism: A Longitudinal Study of Infant Siblings"

One Year Award: \$100,000

Autism Tissue Program: Medical Examiners Program

NAAR committed \$140,000 in 2002 to launch a new component to the Autism Tissue Program that partners with Medical Examiner's Offices throughout the country to help acquire more post-mortem tissue for autism research.

NAAR played a key role establishing the Autism Tissue Program in 1998.

First Payment: \$55,548

TOTAL GRANTS AND ALLOCATIONS: \$4,725,279

NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.
Continuation of Form 990, Part II--Statement of Functional Expenses
Fiscal year ending June 30, 2003

ID #04-3246763
Statement # 5

	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
CONSULTING & PROFESSIONAL SERVICES	374,057	230,459	13,301	130,297
RECOGNITION	172,216	52,955	2,209	117,052
ADMINISTRATIVE SERVICES	69,561	34,048	0	35,513
RESEARCH MATERIALS	17,011	16,878	0	133
INSURANCE	15,141	5,047	5,047	5,047
DUES AND SUBSCRIPTIONS	1,893	855	688	350
MISCELLANEOUS	39,351	14,835	2,611	21,905
	<u>689,230</u>	<u>355,077</u>	<u>23,856</u>	<u>310,297</u>

a. Autism Research Awards: \$4,618,482

NAAR conducted its seventh annual meeting of its distinguished Scientific Advisory Board in May 2003 and, based on the recommendations of its advisors, determined the recipients of the 2003 NAAR Autism Research Awards. The organization received over 170 proposals from scientists throughout the U.S., Canada and Europe. This fiscal year, NAAR awarded 35 new Autism Research Awards for an initial commitment of \$2,138,496 and committed an additional \$1,493,295 for the second year of 24 NAAR Autism Research Awards, awarded in fiscal year 2002. Funding for the second year of the 2003 NAAR Autism Research Awards, in the aggregate amount of \$1,810,476, is scheduled to be disbursed through June 30, 2005, subject to satisfactory progress and availability of funds.

b. Autism Pre-&Post-Doctoral Fellowships: \$1,320,027

In 2003, NAAR increased its funding to pre-and post-doctoral mentor-based awards, committing a total of \$838,000. \$418,000 of the total was to 13 new pre-and post-doctoral fellowship awards; and \$420,000 was allocated to the second year of fellowships awarded in 2002. Fellowship payments in the amount of \$360,000 are scheduled to be disbursed through June 30, 2005, subject to satisfactory progress and availability of funds. In 2003, \$200,000 was committed to the first year allocation of, a collaboration with the Canadian Institute of Neurosciences, Mental Health and Addiction to co-sponsor a pair of six-year interdisciplinary autism training programs known as the "Training Programs in Autism Research".

c. Outreach and Awareness: \$434,202

NAAR was engaged in a variety of public education and awareness activities on behalf of autism research. The ninth, tenth and eleventh issues of *NAARRATIVE*, NAAR's newsletter, were distributed at no cost to over 40,000 individuals in the U.S. and abroad as well as at conferences and symposia throughout the country. Key science feature articles from *NAARRATIVE* are also posted on NAAR's website, www.naar.org. During fiscal 2003 NAAR redesigned its website to offer substantially more information about its mission and programs.

NAAR's Director of Research and Programs, along with its Vice President-Medical Affairs and other members of its Medical Affairs Committee, presented at autism conferences attended by parents and professionals and distributed information on autism and autism research to family members, teachers, therapists and other professionals. Furthermore, in fiscal 2003 NAAR continued to sponsor and present its community based scientific Lecture Series at no cost to families, therapists and educators.

d. Autism Tissue Program: \$387,350

The Autism Tissue Program (ATP), the first national, parent-led effort to encourage and support post-mortem brain tissue donation, is a collaborative effort among NAAR, the Autism Society of America Foundation and the M.I.N.D. Institute at the University of California-Davis. This fiscal year, the ATP expanded its efforts regarding the urgent need for brain tissue donation for medical research. As of June 30, 2003, over 125,000 ATP brochures had been distributed nationwide, over 4,149 persons had registered with the program, and 57 tissue donations had been received. Thirty-one (31) autism research projects are now utilizing tissue specimens secured from the ATP. The ATP website, www.memoriesofhope.org, continues to be updated and a source of information for both families affected by autism and investigators seeking tissue specimens. In addition, the ATP designated \$55,548 towards a new component of the Autism Tissue Program that partners with Medical Examiner's Offices and ASA Chapters in four (4) states to expand outreach efforts in those regions.

e. Scientific Conferences and Meetings: \$88,449

For the fourth successive year, NAAR co-sponsored with the NLM Family Foundation a three-day meeting of autism genetics researchers from around the world who are collaborating in their data collection and analysis. NAAR also co-sponsored the 2nd annual International Meeting for Autism Researchers (IMFAR) in November 2002, an interdisciplinary conference for autism researchers. NAAR Medical Affairs Committee members and staff also represented NAAR at key science conferences. NAAR, together with the Autism Society of Canada and the Canadian Institute for Health Research, also co-sponsored a "state of the science" conference on autism research held in Toronto, Canada in October, 2002.

NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.
Continuation of Form 990, Part IV Line 56 - Investment Position
Fiscal year ending June 30, 2003

ID #04-3246763
Statement # 7

	<u>Jun-30-03</u>		<u>Jun-30-02</u>	
	<u>Cost</u>	<u>Fair Value</u>	<u>Cost</u>	<u>Fair Value</u>
PAINE WEBBER Short-Term Investments	\$ 189,954	\$ 189,467	\$ 443,585	\$ 440,972
	<u>\$ 189,954</u>	<u>\$ 189,467</u>	<u>\$ 443,585</u>	<u>\$ 440,972</u>

NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.
 Form 990, Part II, Line 42 -- Depreciation
 Form 990, Part IV, Lines 57a, 57b & 57c -- Fixed Assets
 Fiscal year ending June 30, 2003

ID #04-3246763
Statement # 8

DESCRIPTION	DATE	BASIS	LIFE	METHOD	PRIOR DEPRECIATION	CURRENT DEPRECIATION	TOTAL ACCUM. DEP.
FURNITURE & FIXTURES	VARIOUS	10,711	3 YR.	SL	5,621	1,342	6,963
OFFICE EQUIPMENT	VARIOUS	11,098	5 YR	SL	11,099	-	11,099
ATP EQUIPMENT	VARIOUS	4,379	5 YR	SL	2,303	476	2,779
COMPUTER EQUIPMENT	VARIOUS	<u>101,560</u>	3 YR	SL	<u>62,417</u>	<u>21,122</u>	<u>83,539</u>
		<u>127,748</u>			<u>81,440</u>	<u>22,940</u>	<u>104,380</u>

NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.

ID #04-3246763

Continuation of Form 990, Part V -- List of Officers, Directors, Trustees and Key Employees

Statement # 9

Fiscal year ending June 30, 2003

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances	
PRISCA CHEN MARVIN, ESQ. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	PRESIDENT TRUSTEE	PART	NONE	NONE	NONE
KAREN MARGULIS LONDON, ESQ. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	V.P. DEVELOPMENT TRUSTEE	PART	NONE	NONE	NONE
ERIC LONDON, M.D. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	V.P. MEDICAL AFFAIRS TRUSTEE	PART	NONE	NONE	NONE
MARK J. KRINSKY, CPA C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TREASURER TRUSTEE	PART	NONE	NONE	NONE
GARY K. DUBERSTEIN, ESQ. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	SECRETARY TRUSTEE	PART	NONE	NONE	NONE
ANDREW J. CERISE C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
H. ERIC CUSHING C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
ANN GIBBONS, ESQ. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
C.T. GORDON, M.D. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
W. DONALD GOUGH C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
VICKI HENNELLY, ESQ. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
DEBORAH HILIBRAND C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
CATHY J. LURIE C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
JEFFREY R. LURIE, PH.D. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
PATRICIA MENENDEZ-CAMBO, ESQ. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
SALLY PEDERSON C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
BARRI RIND C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
DANIEL F. RYAN, JR. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
MARTIN A. SCHWARTZMAN, CFE, CIE, CPCU C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
GLENN R. TRINGALI C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	CHIEF OPER. OFFICER	FULL	132,197	24,291	-
KENNETH FARBER C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	DIR. OF DEVELOPMENT	FULL	120,000	22,050	-
ANDY SHIH, Ph.D. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	DIR. RESEARCH & PROGRAMS	FULL	97,697	17,951	-
RICHARD J. STAPLETON C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	CONTROLLER	FULL	79,239	14,560	-

NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.

Form 990, Schedule A, Part III, Line 2d – Reimbursement of Expenses
Fiscal year ending June 30, 2003

ID# 04-3246763

Statement # 10

The National Alliance for Autism Research, Inc. reimburses trustees, officers and employees for out-of-pocket expenses connected with the performance of their responsibilities as such trustees, officers and employees.

All expenses are substantiated by receipts and other necessary documentation.

NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.

Form 990, Schedule A, Part III, **Note:** – Grant Qualification Statement

Fiscal year ending June 30, 2003

ID# 04-3246763

Statement # 11

The National Alliance for Autism Research (NAAR) endeavors to stimulate, support and coordinate biomedical research into the causes, prevention, treatment and cure of the autism spectrum disorders and research relevant to understanding and improving the communication capabilities of individuals with autism. NAAR aims to support research in all areas that may advance its mission, including basic and applied research, both clinical and non-clinical in nature.

Research proposals submitted to NAAR, including pre-and post-doctoral fellowships and pilot study grants for biomedical research and research on communication in individuals with autism, are reviewed by members of NAAR's Scientific Advisory Board (SAB) and outside expert reviewers invited to participate in the review process. NAAR's SAB is composed of eminent researchers in the various disciplines of medicine and science relevant to autism research.

The Board of Trustees of NAAR determines which research proposals to fund taking into consideration the recommendations of the Scientific Advisory Board. Investigators of NAAR-funded research proposals are affiliated with, and under the rules and guidelines of, educational, medical or charitable organizations which have received tax exempt status under Internal Revenue Code §501(c).

NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.
Form 990, Schedule A, Part VI-B -- Lobbying Statement
Fiscal year ending June 30, 2003

ID# 04-3246763
Statement # 12

NAAR joined with other national autism organizations in spearheading support for federal legislation aimed at increasing government funding of autism biomedical research. In addition to visits with key congressional representatives, NAAR uses its website, www.naar.org, its newsletter, *NAARRATIVE* and mailings to inform and update supporters on the status of proposed legislation.