

Under Section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements.

Department of the Treasury Internal Revenue Service

A For the 2001 calendar year, or tax year beginning Jul 1, 2001, and ending Jun 30, 2002

- B Check if applicable: Address change, Name change, Initial return, Final return, Amended return, Application pending

C Name of organization: NATIONAL ALLIANCE FOR AUTISM RESEARCH, Inc. Address: 99 WALL STREET - RESEARCH PARK, PRINCETON NJ 08540

D Employer Identification Number: 04-3246763 E Telephone number: (609) 430-9160 F Accounting method: Accrual

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

G Web site: www.naar.org

J Organization type: 501(c) 3

K Check here if the organization's gross receipts are normally not more than \$25,000.

L Gross receipts: Add lines 6b, 8b, 9b, and 10b to line 12. 6,352,394.

- H (a) Is this a group return for affiliates? (b) If 'yes,' enter number of affiliates. (c) Are all affiliates included? (d) Is this a separate return filed by an organization covered by a group ruling? I Enter 4-digit group GEN. M Check if the organization is not required to attach Schedule B.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see instructions)

Table with columns for Revenue, Expenses, and Assets. Rows include: 1 Contributions, gifts, grants, and similar amounts received; 2 Program service revenue; 3 Membership dues; 4 Interest on savings; 5 Dividends; 6a-6c Rents; 7 Other investment income; 8a-8d Sales of assets; 9 Special events; 10a-10c Sales of inventory; 11 Other revenue; 12 Total revenue; 13-17 Total expenses; 18-21 Net assets or fund balances.

**Part II Statement of Functional Expenses** All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22	Grants and allocations (att sch) (cash \$ <u>3,038,845.</u> non-cash \$ _____) . . . . .	22 3,038,845.	3,038,845.		
23	Specific assistance to individuals (att sch) . . . . .	23			
24	Benefits paid to or for members (att sch) . . . . .	24			
25	Compensation of officers, directors, etc . . . . .	25 281,436.	156,436.	50,000.	75,000.
26	Other salaries and wages . . . . .	26 240,548.	132,119.	87,362.	21,067.
27	Pension plan contributions . . . . .	27 3,442.	1,903.	906.	633.
28	Other employee benefits . . . . .	28 35,469.	19,607.	9,334.	6,528.
29	Payroll taxes . . . . .	29 42,340.	23,405.	11,142.	7,793.
30	Professional fundraising fees . . . . .	30			
31	Accounting fees . . . . .	31 15,542.	5,686.	4,928.	4,928.
32	Legal fees . . . . .	32			
33	Supplies . . . . .	33 33,469.	15,225.	11,847.	6,397.
34	Telephone . . . . .	34 44,309.	18,375.	12,967.	12,967.
35	Postage and shipping . . . . .	35 42,694.	31,797.	3,867.	7,030.
36	Occupancy . . . . .	36 71,243.	28,329.	21,457.	21,457.
37	Equipment rental and maintenance . . . . .	37 29,516.	17,742.	5,887.	5,887.
38	Printing and publications . . . . .	38 154,158.	101,519.	2,747.	49,892.
39	Travel . . . . .	39 48,185.	31,196.	5,663.	11,326.
40	Conferences, conventions, and meetings . . . . .	40 100,886.	62,886.	0.	38,000.
41	Interest . . . . .	41			
42	Depreciation, depletion, etc (attach schedule) . . . . .	42 32,248.	11,287.	10,964.	9,997.
43	Other expenses not covered above (itemize):				
a	<u>WALK PROGRAM</u> . . . . .	43a 832,823.	0.	0.	832,823.
b	<u>SCIENTIFIC ADVISORY BOARD</u> . . . . .	43b 90,586.	90,586.	0.	0.
c	<u>AUTISM TISSUE PROGRAM</u> . . . . .	43c 277,297.	277,297.	0.	0.
d	<u>RECRUITING</u> . . . . .	43d 64,216.	32,108.	16,054.	16,054.
e	<u>SEE STATEMENT #5</u> . . . . .	43e 221,091.	131,137.	61,931.	28,023.
44	<b>Total functional expenses</b> (add lines 22 - 43). Organizations completing columns (B) - (D), carry these totals to lines 13 - 15 . . . . .	44 5,700,343.	4,227,485.	317,056.	1,155,802.

**Joint Costs.** Check  if you are following SOP 98-2.  
 Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? . . . . .  Yes  No  
 If 'Yes,' enter (i) the aggregate amount of these joint costs \$ 1,004,154.; (ii) the amount allocated to program services \$ 171,331.; (iii) the amount allocated to management and general \$ 0.; and (iv) the amount allocated to fundraising \$ 832,823.

**Part III Statement of Program Service Accomplishments**

What is the organization's primary exempt purpose? <u>STIMULATE &amp; ADVANCE MEDICAL RESEARCH INTO AUTISM</u> All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) & (4) organizations & section 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants & allocations to others.)	Program Service Expenses (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts; but optional for others.)
a <u>SEE STATEMENT #6</u> _____ _____ _____ (Grants and allocations \$ <u>3,038,845.</u> )	3,184,696.
b <u>SEE STATEMENT #6</u> _____ _____ _____ (Grants and allocations \$ <u>0.</u> )	532,784.
c <u>SEE STATEMENT #6</u> _____ _____ _____ (Grants and allocations \$ <u>0.</u> )	309,256.
d <u>SEE STATEMENT #6</u> _____ _____ _____ (Grants and allocations \$ <u>0.</u> )	142,904.
e Other program services. <u>SEE STATEMENT #6</u> . . . . . (Grants and allocations \$ <u>0.</u> )	57,845.
<b>f Total of Program Service Expenses</b> (should equal line 44, column (B), program services) . . . . .	4,227,485.

**Part IV Balance Sheets** (See instructions)

**Note:** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

		(A) Beginning of year		(B) End of year
ASSETS	45 Cash — non-interest-bearing . . . . .	60,656.	45	549,184.
	46 Savings and temporary cash investments . . . . .	2,727,644.	46	4,505,881.
	47 a Accounts receivable . . . . .	47 a		
	b Less: allowance for doubtful accounts . . . . .	47 b	47 c	
	48 a Pledges receivable . . . . .	48 a 892,860.		
	b Less: allowance for doubtful accounts . . . . .	48 b 40,500.	1,289,388.	48 c 852,360.
	49 Grants receivable . . . . .		49	
	50 Receivables from officers, directors, trustees, and key employees (attach schedule) . . . . .		50	
	51 a Other notes & loans receivable (attach sch) . . . . .	51 a		
	b Less: allowance for doubtful accounts . . . . .	51 b	51 c	
	52 Inventories for sale or use . . . . .		52	
	53 Prepaid expenses and deferred charges . . . . .		7,709.	53 5,364.
	54 Investments — securities (attach schedule) . . . . .			54
	55 a Investments — land, buildings, & equipment: basis . . . . .	55 a		
	b Less: accumulated depreciation (attach schedule) . . . . .	55 b	55 c	
56 Investments — other (attach schedule) . . . . .		480,000.	56 440,972.	
57 a Land, buildings, and equipment: basis . . . . .	57 a 113,185.			
b Less: accumulated depreciation (attach schedule) . . . . .	57 b 81,440.	48,879.	57 c 31,745.	
58 Other assets (describe ► SECURITY DEPOSITS) . . . . .		2,260.	58 2,260.	
59 <b>Total assets</b> (add lines 45 through 58) (must equal line 74) . . . . .		4,616,536.	59 6,387,766.	
LIABILITIES	60 Accounts payable and accrued expenses . . . . .	58,195.	60	290,804.
	61 Grants payable . . . . .	2,198,246.	61	3,257,069.
	62 Deferred revenue . . . . .		62	
	63 Loans from officers, directors, trustees, and key employees (attach schedule) . . . . .		63	
	64 a Tax-exempt bond liabilities (attach schedule) . . . . .		64 a	
	b Mortgages and other notes payable (attach schedule) . . . . .		64 b	
	65 Other liabilities (describe ►) . . . . .		65	
	66 <b>Total liabilities</b> (add lines 60 through 65) . . . . .		2,256,441.	66 3,547,873.
NET ASSETS OR FUND BALANCES	<b>Organizations that follow SFAS 117, check here</b> ► <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74.			
	67 Unrestricted . . . . .	1,962,503.	67	2,445,935.
	68 Temporarily restricted . . . . .	397,592.	68	393,958.
	69 Permanently restricted . . . . .		69	
	<b>Organizations that do not follow SFAS 117, check here</b> ► <input type="checkbox"/> and complete lines 70 through 74.			
	70 Capital stock, trust principal, or current funds . . . . .		70	
	71 Paid-in or capital surplus, or land, building, and equipment fund . . . . .		71	
	72 Retained earnings, endowment, accumulated income, or other funds . . . . .		72	
73 <b>Total net assets or fund balances</b> (add lines 67 through 69 or lines 70 through 72; column (A) <b>must</b> equal line 19 and column (B) <b>must</b> equal line 21) . . . . .		2,360,095.	73 2,839,893.	
74 <b>Total liabilities and net assets/fund balances</b> (add lines 66 and 73) . . . . .		4,616,536.	74 6,387,766.	

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.



**Part VI Other Information** (See specific instructions.)

		Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If 'Yes,' attach a detailed description of each activity . . . . .	76	X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? . . . . . If 'Yes,' attach a conformed copy of the changes.	77	X
78 a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return? . . . . .	78 a	X
b	If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	78 b	
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If 'Yes,' attach a statement . . . . .	79	X
80 a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc, to any other exempt or nonexempt organization? . . . . .	80 a	X
b	If 'Yes,' enter the name of the organization ▶ _____ and check whether it is <input type="checkbox"/> exempt or <input type="checkbox"/> nonexempt.		
81 a	Enter direct or indirect political expenditures. See line 81 instructions . . . . .	81 a	0.
b	Did the organization file <b>Form 1120-POL</b> for this year? . . . . .	81 b	X
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value? . . . . .	82 a	X
b	If 'Yes,' you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.) . . . . .	82 b	194,558.
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications? . . . . .	83 a	X
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions? . . . . .	83 b	X
84 a	Did the organization solicit any contributions or gifts that were not tax deductible? . . . . .	84 a	X
b	If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . .	84 b	
85	<b>501(c)(4), (5), or (6) organizations.</b> a Were substantially all dues nondeductible by members? . . . . .	85 a	
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less? . . . . . If 'Yes' was answered to either 85a or 85b, <b>do not</b> complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.	85 b	
c	Dues, assessments, and similar amounts from members . . . . .	85 c	
d	Section 162(e) lobbying and political expenditures . . . . .	85 d	
e	Aggregate nondeductible amount of Section 6033(e)(1)(A) dues notices . . . . .	85 e	
f	Taxable amount of lobbying and political expenditures (line 85d less 85e). . . . .	85 f	
g	Does the organization elect to pay the Section 6033(e) tax on the amount on line 85f? . . . . .	85 g	
h	If Section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? . . . . .	85 h	
86	<b>501(c)(7) organizations.</b> Enter: a Initiation fees and capital contributions included on line 12 . . . . .	86 a	
b	Gross receipts, included on line 12, for public use of club facilities . . . . .	86 b	
87	<b>501(c)(12) organizations.</b> Enter: a Gross income from members or shareholders. . . . .	87 a	
b	Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) . . . . .	87 b	
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations Sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Part IX . . . . .	88	X
89 a	<b>501(c)(3) organizations.</b> Enter: Amount of tax imposed on the organization during the year under: Section 4911 ▶ 0. ; Section 4912 ▶ 0. ; Section 4955 ▶ 0.		
b	<b>501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in any Section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If 'Yes,' attach a statement explaining each transaction . . . . .	89 b	X
c	Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under Sections 4912, 4955, and 4958 . . . . . ▶ 0.		
d	Enter: Amount of tax on line 89c, above, reimbursed by the organization . . . . . ▶ 0.		
90 a	List the states with which a copy of this return is filed ▶ <u>AZ, AK, CA, CT, FL, GA, IL, IN, KS, KY, MD, MA, ME, MI, NJ, NY, NC, OH, OK, OR, PA, SC, TN, VA, WA, WV, WI</u>		
b	Number of employees employed in the pay period that includes March 12, 2001 (see instructions) . . . . .	90 b	9
91	The books are in care of ▶ <u>RICHARD J. STAPLETON</u> Telephone number ▶ <u>(609) 430-9160</u> Located at ▶ <u>99 WALL STREET - RESEARCH PARK, PRINCETON</u> NJ ZIP + 4 ▶ <u>08540</u>		
92	<b>Section 4947(a)(1) nonexempt charitable trusts filling Form 990 in lieu of Form 1041</b> - Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year . . . . . ▶ <u>92</u>		

**Part VII Analysis of Income-Producing Activities** (See instructions.)

**Note:** Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
<b>93</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> Medicare/Medicaid payments . . . . .					
<b>g</b> Fees & contracts from government agencies . . . . .					
<b>94</b> Membership dues and assessments . . . . .					
<b>95</b> Interest on savings & temporary cash invmnts.					
<b>96</b> Dividends & interest from securities . . . . .			14	85,064.	
<b>97</b> Net rental income or (loss) from real estate:					
<b>a</b> debt-financed property . . . . .					
<b>b</b> not debt-financed property . . . . .					
<b>98</b> Net rental income or (loss) from pers prop . . . . .					
<b>99</b> Other investment income . . . . .					
<b>100</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	-919.	
<b>101</b> Net income or (loss) from special events . . . . .			01	-76,245.	
<b>102</b> Gross profit or (loss) from sales of inventory . . . . .					
<b>103</b> Other revenue: <b>a</b> _____					
<b>b</b> Other Income _____			01		85.
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>104</b> Subtotal (add columns (B), (D), and (E)) . . . . .				7,900.	85.
<b>105 Total</b> (add line 104, columns (B), (D), and (E)) . . . . .					7,985.

**Note:** Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.

**Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes** (See instructions.)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
103b	Other Income

**Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities** (See instructions.) N/A

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%			
	%			
	%			

**Part X Information Regarding Transfers Associated with Personal Benefit Contracts** (See instructions.)

- a** Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- b** Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

**Note:** If 'Yes' to (b), file Form 8870 and Form 4720 (see instructions).

**Please Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of Officer: \_\_\_\_\_ Date: \_\_\_\_\_

MARK J. KRINSKY - TREASURER  
Type or Print Name and Title

**Paid Preparer's Use Only**

Preparer's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Check if self-employed:

Preparer's SSN or PTIN (see General Instruction W): \_\_\_\_\_

EIN: \_\_\_\_\_

Phone no: \_\_\_\_\_

Non-Paid Preparer

Schedule A (Form 990 or 990-EZ)

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1) Nonexempt Charitable Trust Supplementary Information - (See separate instructions.)

2001

Supplementary Information - (see separate instructions)

Must be completed by the above organizations and attached to their Form 990 or 990-EZ.

Department of the Treasury Internal Revenue Service

Name of the Organization: NATIONAL ALLIANCE FOR AUTISM RESEARCH, Inc. Employer Identification Number: 04-3246763

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees (See instructions. List each one. If there are none, enter 'None.')

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000; (b) Title and average hours per week devoted to position; (c) Compensation; (d) Contributions to employee benefit plans & deferred compensation; (e) Expense account and other allowances. Includes entries for LISA GALLIPOLI and JANE PICKETT.

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services (See instructions. List each one (whether individuals or firms). If there are none, enter 'None.')

Table with 3 columns: (a) Name and address of each independent contractor paid more than \$50,000; (b) Type of service; (c) Compensation. Includes entry for Steve Leonard & Associates.

Total number of others receiving over \$50,000 for professional services . . . . . NONE

**Part III** Statements About Activities (See instructions.)

Yes No

1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If 'Yes,' enter the total expenses paid or incurred in connection with the lobbying activities . . . . ▶ \$ 30,768.

(Must equal amounts on line 38, Part VI-A, or line i of Part VI-B.) . . . . .

1 X

Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking 'Yes,' must complete Part VI-B and attach a statement giving a detailed description of the lobbying activities.

2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is 'Yes,' attach a detailed statement explaining the transactions.)

a Sale, exchange, or leasing of property? . . . . .

2 a X

b Lending of money or other extension of credit? . . . . .

2 b X

c Furnishing of goods, services, or facilities? . . . . .

SEE STATEMENT #10

2 c X

d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? . . . . .

2 d X

e Transfer of any part of its income or assets? . . . . .

2 e X

3 Does the organization make grants for scholarships, fellowships, student loans, etc? (See Note below.) . . . . .

3 X

4 Do you have a section 403(b) annuity plan for your employees? . . . . .

4 X

**Note:** Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs 'qualify' to receive payments.

**Part IV** Reason for Non-Private Foundation Status (See instructions.)

The organization is not a private foundation because it is (please check only **One** applicable box):

5  A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).

6  A school. Section 170(b)(1)(A)(ii). (Also complete Part V.)

7  A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).

8  A federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).

9  A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state ▶ \_\_\_\_\_

10  An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)

11 a  An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

11 b  A community trust. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

12  An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc, functions – subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A.)

13  An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in: (1) lines 5 through 12 above; or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations. (See instructions.)

(a) Name(s) of supported organization(s)	(b) Line number from above

14  An organization organized and operated to test for public safety. Section 509(a)(4). (See instructions.)

**Part IV-A Support Schedule** (Complete only if you checked a box on line 10, 11, or 12.) **Use cash method of accounting.**

**Note:** You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in) . . . . . ▶	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
<b>15</b> Gifts, grants, and contributions received. (Do not include unusual grants. See line 28.) . . . . .	4,456,213.	2,507,776.	1,457,936.	736,972.	9,158,897.
<b>16</b> Membership fees received . . . . .					
<b>17</b> Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc, purpose . . . . .	23,441.	18,958.	35,953.		78,352.
<b>18</b> Gross income from interest, dividends, amounts received from payments on securities loans (Section 512(a)(5)), rents, royalties, and unrelated business taxable income (less Section 511 taxes) from businesses acquired by the organization after June 30, 1975 . . . . .	122,074.	59,838.	34,516.	18,385.	234,813.
<b>19</b> Net income from unrelated business activities not included in line 18. . . . .					
<b>20</b> Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf . . . . .					
<b>21</b> The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge . . . . .					
<b>22</b> Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets. . . . .	1,905.	1,359.			3,264.
<b>23</b> Total of lines 15 through 22. . . . .	4,603,633.	2,587,931.	1,528,405.	755,357.	9,475,326.
<b>24</b> Line 23 minus line 17. . . . .	4,580,192.	2,568,973.	1,492,452.	755,357.	9,396,974.
<b>25</b> Enter 1% of line 23. . . . .	46,036.	25,879.	15,284.	7,554.	
<b>26 Organizations described on lines 10 or 11:</b> a Enter 2% of amount in column (e), line 24 . . . . . ▶					<b>26 a</b> 187,939.
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. <b>Do not file this list with your return.</b> Enter the total of all these excess amounts. . . . . ▶					<b>26 b</b> 751,756.
c Total support for Section 509(a)(1) test: Enter line 24, column (e) . . . . . ▶					<b>26 c</b> 9,396,974.
d Add: Amounts from column (e) for lines: 18 234,813. 19 _____ 22 3,264. 26 b 751,756. . . . . ▶					<b>26 d</b> 989,833.
e Public support (line 26c minus line 26d total) . . . . . ▶					<b>26 e</b> 8,407,141.
f <b>Public support percentage (line 26e (numerator) divided by line 26c (denominator)).</b> . . . . ▶					<b>26 f</b> 89.47 %
<b>27 Organizations described on line 12:</b>					
a For amounts included in lines 15, 16, and 17 that were received from a 'disqualified person,' prepare a list for your records to show the name of, and total amounts received in each year from, each 'disqualified person.' <b>Do not file this list with your return.</b> Enter the sum of such amounts for each year: (2000) _____ (1999) _____ (1998) _____ (1997) _____					
b For any amount included in line 17 that was received from each person (other than 'disqualified persons'), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) <b>Do not file this list with your return.</b> After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: (2000) _____ (1999) _____ (1998) _____ (1997) _____					
c Add: Amounts from column (e) for lines: 15 _____ 16 _____ 17 _____ 20 _____ 21 _____ . . . ▶					<b>27 c</b> _____
d Add: Line 27a total . . . and line 27b total . . . . . ▶					<b>27 d</b> _____
e Public support (line 27c total minus line 27d total). . . . . ▶					<b>27 e</b> _____
f Total support for section 509(a)(2) test: Enter amount from line 23, column (e) . . . . ▶					<b>27 f</b> _____
g <b>Public support percentage (line 27e (numerator) divided by line 27f (denominator)).</b> . . . . ▶					<b>27 g</b> _____ %
h <b>Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)).</b> . . . . ▶					<b>27 h</b> _____ %

**28 Unusual Grants:** For an organization described in line 10, 11, or 12 that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. **Do not file this list with your return.** Do not include these grants in line 15.

**Part V Private School Questionnaire** (See instructions.)  
 (To be completed Only by schools that checked the box on line 6 in Part IV)

N/A

		Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? . . . . .		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? . . . . .		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? . . . . . If 'Yes,' please describe; if 'No,' please explain. (If you need more space, attach a separate statement.) ----- ----- -----		
32	Does the organization maintain the following:		
	a Records indicating the racial composition of the student body, faculty, and administrative staff? . . . . .		
	b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? . . . . .		
	c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? . . . . .		
	d Copies of all material used by the organization or on its behalf to solicit contributions? . . . . .  If you answered 'No' to any of the above, please explain. (If you need more space, attach a separate statement.) ----- -----		
33	Does the organization discriminate by race in any way with respect to:		
	a Students' rights or privileges? . . . . .		
	b Admissions policies? . . . . .		
	c Employment of faculty or administrative staff? . . . . .		
	d Scholarships or other financial assistance? . . . . .		
	e Educational policies? . . . . .		
	f Use of facilities? . . . . .		
	g Athletic programs? . . . . .		
	h Other extracurricular activities? . . . . .  If you answered 'Yes' to any of the above, please explain. (If you need more space, attach a separate statement.) ----- -----		
34 a	Does the organization receive any financial aid or assistance from a governmental agency? . . . . .		
	b Has the organization's right to such aid ever been revoked or suspended? . . . . . If you answered 'Yes' to either 34a or b, please explain using an attached statement.		
35	Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev Proc 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If 'No,' attach an explanation. . . . .		

**Part VI-A Lobbying Expenditures by Electing Public Charities** (See instructions.)  
(To be completed **Only** by an eligible organization that filed Form 5768)

N/A

Check  **a** if the organization belongs to an affiliated group. Check  **b** if you checked 'a' and 'limited control' provisions apply.

**Limits on Lobbying Expenditures**

(The term 'expenditures' means amounts paid or incurred.)

		(a) Affiliated group totals	(b) To be completed for <b>all</b> electing organizations
<b>36</b> Total lobbying expenditures to influence public opinion (grassroots lobbying) . . . . .	<b>36</b>		
<b>37</b> Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .	<b>37</b>		
<b>38</b> Total lobbying expenditures (add lines 36 and 37) . . . . .	<b>38</b>		
<b>39</b> Other exempt purpose expenditures . . . . .	<b>39</b>		
<b>40</b> Total exempt purpose expenditures (add lines 38 and 39) . . . . .	<b>40</b>		
<b>41</b> Lobbying nontaxable amount. Enter the amount from the following table —			
<b>If the amount on line 40 is —</b> <b>The lobbying nontaxable amount is —</b>			
Not over \$500,000 . . . . . 20% of the amount on line 40 . . . . .			
Over \$500,000 but not over \$1,000,000 . . . . . \$100,000 plus 15% of the excess over \$500,000			
Over \$1,000,000 but not over \$1,500,000 . . . . . \$175,000 plus 10% of the excess over \$1,000,000	<b>41</b>		
Over \$1,500,000 but not over \$17,000,000 . . . . . \$225,000 plus 5% of the excess over \$1,500,000			
Over \$17,000,000 . . . . . \$1,000,000 . . . . .			
<b>42</b> Grassroots nontaxable amount (enter 25% of line 41) . . . . .	<b>42</b>		
<b>43</b> Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36 . . . . .	<b>43</b>		
<b>44</b> Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38 . . . . .	<b>44</b>		
<b>Caution:</b> If there is an amount on either line 43 or line 44, you must file Form 4720.			

**4 -Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.  
See the instructions for lines 45 through 50.)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
<b>45</b> Lobbying nontaxable amount . . . . .					
<b>46</b> Lobbying ceiling amount (150% of line 45(e)) . . . . .					
<b>47</b> Total lobbying expenditures . . . . .					
<b>48</b> Grassroots non-taxable amount . . . . .					
<b>49</b> Grassroots ceiling amount (150% of line 48(e)) . . . . .					
<b>50</b> Grassroots lobbying expenditures . . . . .					

**Part VI-B Lobbying Activity by Nonelecting Public Charities**

(For reporting only by organizations that did not complete Part VI-A) (See instructions.)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:

	Yes	No	Amount
<b>a</b> Volunteers . . . . .	X		
<b>b</b> Paid staff or management (include compensation in expenses reported on lines <b>c</b> through <b>h</b> ). . . . .	X		
<b>c</b> Media advertisements . . . . .	X		252.
<b>d</b> Mailings to members, legislators, or the public . . . . .		X	
<b>e</b> Publications, or published or broadcast statements . . . . .	X		27,061.
<b>f</b> Grants to other organizations for lobbying purposes. . . . .		X	
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body . . . . .		X	
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means . . . . .	X		3,455.
<b>i</b> Total lobbying expenditures (add lines <b>c</b> through <b>h</b> ). . . . .			30,768.

If 'Yes' to any of the above, also attach a statement giving a detailed description of the lobbying activities.





**NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.**  
Form 990, Part I, Line 9 -- Net Income (Loss) from Special Events  
Fiscal year ending June 30, 2002

ID #04-3246763  
**Statement #2**

<b>DESCRIPTION</b>	<b>GROSS RECEIPTS</b>	<b>CONTRIBUTIONS</b>	<b>GROSS REVENUE</b>	<b>DIRECT EXPENSES</b>	<b>NET INCOME (LOSS)</b>
"2002 AWARDS DINNER HONORING DICK GRASSO" NEW YORK, NY, 6/18/2002	694,170	641,564	52,606	128,851	(76,245)
"WALK FAR FOR NAAR" WALKATHON PROGRAM	4,184,917	4,184,917	-	-	-
	<u>4,879,087</u>	<u>4,826,481</u>	<u>52,606</u>	<u>128,851</u>	<u>(76,245)</u>

**NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.**  
Form 990, Part I, Line 20 - Other Changes in Net Assets or Fund Balances  
Fiscal year ending June 30, 2002

ID #04-3246763  
**Statement #3**

Unrealized Loss on Investments \$ (2,613)

Total changes in net assets or fund balances **PART I Line 20:** \$ (2,613)

The NAAR Autism Pre-Doctoral Fellowship Award Recipients are:

**Mentor: Jocelyne Bachevalier, Ph.D.**

University of Texas - Houston  
Houston, Texas  
Year 1 of 2: \$43,000

**Mentor: Simon Baron-Cohen, Ph.D.**

Autism Research Centre  
Cambridge, England  
Year 1 of 2: \$25,000

**Mentor: Deborah A. Fein, Ph.D.**

University of Connecticut  
Storrs, Connecticut  
Year 1 of 2: \$27,000

**Mentor: Susan Folstein, M.D.**

New England Medical Center  
Boston, Massachusetts  
Year 1 of 2: \$25,000

**Mentor: Ami Klin, Ph.D.**

Yale University School of Medicine  
New Haven, Connecticut  
Year 1 of 2: \$40,000

**Mentor: John Sweeney, Ph.D.**

University of Illinois  
Chicago, Illinois  
Year 1 of 2: \$25,000

**Mentor: Fred R. Volkmar, Ph.D. & Rhea Paul, Ph.D.**

Yale University School of Medicine  
New Haven, Connecticut  
Year 1 of 2: \$50,000

The NAAR Autism Post-Doctoral Fellowship Award Recipients are:

**Judith Innes Piggot, MRCGP, MRCP**

Stanford University School of Medicine  
Stanford, California

**Roland Ciaranello, M.D. Memorial Fellowship in Basic Research.**

Year 2 of 2: \$50,000

**Mentor: Pasko Rakic, M.D., Sc.D.**

Yale University School of Medicine  
New Haven, Connecticut  
Year 1 of 2: \$55,000

**Mentor: John L. R. Rubenstein, M.D., Ph.D.**  
University of California  
San Francisco, California  
**Roland Ciaranello, M.D. Memorial Fellowship in Basic Research.**  
Year 1 of 2: \$50,000

**Mentor: Robert T. Schultz, Ph.D.**  
Yale University School of Medicine  
New Haven, Connecticut  
**In honor of Marie Bristol-Power, M.D.**  
Year 1 of 2: \$40,000

**Mentor: John Sweeney, Ph.D.**  
University of Illinois  
Chicago, Illinois  
Year 1 of 2: \$50,000

**Mentor: Helen Tager-Flusberg, Ph.D.**  
Boston University School of Medicine  
Boston, Massachusetts  
Year 1 of 2: \$40,000

The NAAR Autism Research Grant Award Recipients are:

**Schahram Akbarian, M.D., Ph.D.**  
University of Massachusetts Medical School  
Worcester, Massachusetts  
**"Chromatin-Remodeling in Developing Prefrontal Cortex Implications for Autism"**  
Year 1 of 2: \$60,000.

**Gillian Baird**  
St. Thomas Hospital HNS & Guy's Hospital  
London, England  
**"Is there Evidence of Antibodies Against Neural Tissue In Children with Autism?"**  
Year 1 of 2: \$60,000.  
Research Partner: *Solving the Mystery of Autism Foundation, Inc.*

**Pradeep G. Bhide, Ph.D.**  
Harvard Medical School/Massachusetts General Hospital  
Charlestown, Massachusetts  
**"Dopamine & Brain Development: Implications for Autism Spectrum Disorders"**  
Year 1 of 2: \$60,000.  
Research Partner: *Richard & Susan Smith Family Foundation*

**Dana Boatman, Ph.D. and Barry Gordon, M.D., Ph.D.**  
John Hopkins School of Medicine  
Baltimore, Maryland  
**"Evaluation of Auditory Processing in Low Functioning Children with Autism."**  
Year 2 of 2: \$39,417

**Linda Brzustowicz, M.D. and Christopher Barlett, B.S.**  
Rutgers University  
New Brunswick, New Jersey  
**"Localization of Genes Negatively Influencing Language Acquisition."**  
Year 2 of 2: \$45,111

**C. Susan Carter, Ph.D.**  
University of Illinois – Chicago  
Chicago, Illinois  
**"Oxytocin & Autism: An Animal Model"**  
Year 1 of 2: \$60,000  
Research Partner: *Friends of Autism*

**Edwin Cook, M.D.**  
The University of Chicago  
Chicago, Illinois  
**"Linkage Disequilibrium Fine Mapping of 15q11-q13 in Autism."**  
Year 2 of 2: \$50,005

**Anna Dunaevsky, Ph.D.**  
Brown University  
Providence, Rhode Island  
**"Synaptogenesis in Cerebellar Purkinje Cells of Normal & FMR1 Knockout Mice"**  
Year 1 of 2: \$30,000  
Research Partner: *FRAXA Research Foundation*

**Louise Gallagher, MB MRC Psych**  
Trinity College Dublin (Ireland)  
**"The Molecular Genetics of Autism: Linkage Disequilibrium Screen in the Irish Population."**  
Year 2 of 2: \$49,550

**Jeremy Goldberg, M.D.**  
McMaster University (Canada)  
**"Investigating Serotonin Receptor Function and Brain Structure as Potential Endophenotypes of Autism."**  
Year 2 of 2: \$44,886

**Andrew Grayson, Ph.D, C. Psychol.**  
The Open University (United Kingdom)  
**"Facilitated Communication: A Systematic Observational Research Project Involving Fine-Grained Video Analysis and Eye Tracking."**  
Year 1 of 2: \$47,204

**Judith K. Grether, Ph.D.**  
California Department of Health Services – Environmental Health Investigations  
Oakland, California  
**"Epidemiology of Autism in Multiple Births "**  
Year 1 of 2: \$60,000  
Research Partner: *Autism Coalition for Research & Education*

Martha R. Herbert, M.D., Ph.D.  
Massachusetts General Hospital  
Boston, Massachusetts  
**"Neuroimaging of Young Children at High Risk for Autism"**  
Year 1 of 2: \$59,640  
Research Partner: *Nancy Laurie Marks Family Foundation*

**Karl Herrup, Ph.D.**  
Case Western Reserve University  
Cleveland, Ohio  
**"CNS Pattern Formulation and the Etiology of Autism."**  
Year 2 of 2: \$49,500

**Christine Hohmann, Ph.D.**  
Morgan State University  
Baltimore, Maryland  
**"Serotonin as Regulator of Cortical Development and Function."**  
Year 2 of 2: \$46,426

**Chi-ming Huang, Ph.D.**

University of Missouri, Kansas City  
Kansas City, Missouri

**“Synaptic and Cellular Abnormalities in the Maturing Autistic Cerebellum.”**

Year 2 of 2: \$49,940

**Jeffrey Hutsler, Ph.D.,**

University of Michigan  
Ann Arbor, Michigan

**“Cortical Organization and Synaptic Culling in Individuals with Autism.”**

Year 2 of 2: \$41,778

**Yong-hui Jiang, M.D.**

Baylor College of Medicine  
Houston, Texas

**“Epigenetics Alterations & Autism Susceptibility”**

Year 1 of 2: \$62,425

**Flavio Keller, M.D. Liberia**

Universita, Rome, Italy

**“Reelin as a Target of Interaction between Genotype & Environment in Autistic Disorder “**

Year 1 of 2: \$25,950

**Ami Klin, Ph.D. and Jocelyne Bachevalier, Ph.D.**

Yale University Child Study Center  
New Haven, Connecticut

**“Studies of Social Visual Pursuit in Non-Human Primates with Mesiofrontal-Limbic Lesions Previously Shown to Offer a Successful Animal Model of Autism.”**

Year 2 of 2: \$45,865

**Jennifer Levitt, M.D.**

UCLA Neuropsychiatric Institute  
Los Angeles, California

**“Cortical Complexity and 1H MRS Studies of Communication in Autism.”**

Year 2 of 2: \$48,412

**Elena Maestrini, Ph.D.**

University of Bologna (Italy)

**“Search for an Autism Susceptibility Gene on Chromosome 2q.”**

Year 2 of 2: \$43,260

**David E. Mandelbaum, M.D., Ph.D.**

UMDNJ – Robert Wood Johnson Medical School  
New Brunswick, New Jersey

**“A Longitudinal Analysis of the Neurological Findings in Children with Autism, with High or Low IQ, and Comparisons to Non-Autistic Children with Developmental Language Disorder and Mental Retardation “**

One-year award - \$17,105

Research Partner: *Autism Coalition for Research & Education*

**Henry Markram, Ph.D.**

Weizmann Institute of Science (Israel)

**“Altered Inhibitory Microcircuits in Autism.”**

Year 2 of 2: \$48,400

**L. Alison McInnes, M.D.**

Mt. Sinai School of Medicine  
New York, New York

**“A Population Genetic Study of Autism in Andalusia, Spain”**

One-year award - \$43,495

**Daniel McIntosh, Ph.D.**

University of Denver  
Denver, Colorado

**“Core Affective Processes in Autism.”**

Year 2 of 2: \$49,243

**Lisa Monteggia, Ph.D.**

UT Southwestern Medical Center  
Dallas, Texas

**“Analysis of the Role of the Methyl-CG Binding Protein in the Pervasive Developmental Disorder, Rett’s Syndrome, Using Genetically Modified Mice.”**

Year 2 of 2: \$50,000

**Stewart Mostofsky, M.D.**

Kennedy Krieger Institute  
Baltimore, Maryland

**“Examination of a Deficit in Procedural Learning in Autism.”**

Year 2 of 2: \$43,693

**Letitia R. Naigles, Ph.D.**

University of Connecticut  
Storrs, Connecticut

**“The Development of Language Comprehension in Children with Autism: A Longitudinal Study Using the Intermodal Preferential Looking Program”**

Year 1 of 2: \$66,752

Research Partner: *Nancy Laurie Marks Family Foundation*

**Dennis D.M. O’Leary, Ph.D.**

Salk Institute for Biological Studies  
La Jolla, California

**“Molecular Control of Inferior Olive Development – A Step Towards Understanding the Neuropathology of Autism”**

Year 1 of 2: \$60,000

Research Partner: *Autism Coalition for Research & Education*

**Opal Ousley, Ph.D. & Lisa A. Parr, Ph.D.**

Emory University School of Medicine, Atlanta, GA

**“Physiological Responsiveness to Social Interaction in Young Children with Autism”**

Year 1 of 2: \$60,000

**Rhea Paul, Ph.D.**

Southern Connecticut State University and Yale University Child Study Center  
New Haven, Connecticut

**“The Development of Prosody in Young Children with Autism and Related Conditions.”**

Year 2 of 2: \$49,392

**David L. Pauls, Ph.D.**

Harvard Medical School/Massachusetts General Hospital  
Charlestown, Massachusetts

**“A Genetic Study of High-Functioning Autism and Asperger Syndrome in Finland”**

Year 1 of 2: \$60,000

Research Partner: *In memory of Lisa Fenn Gordenstein*

**Samuel Pleasure, M.D., Ph.D.**

University of California at San Francisco  
San Francisco, California

**“The Role of WNTS in Hippocampal Ventricular Zone Development.”**

Year 2 of 2: \$47,218

**Nicholas Ponzio, Ph.D.**

UMDNJ – New Jersey Medical School  
Newark, New Jersey

**"Contribution of Immunological Mechanisms to Autism Spectrum Disorders"**

Year 1 of 2: \$29,700

This project is dedicated: *In memory of Dr. Don C. Wiley*

**Timothy P.L. Roberts, Ph.D.**

University of California at San Francisco  
San Francisco, California

**"Neural Correlates of Phonological Processing in Autism: An MEG Investigation."**

Year 2 of 2: \$47,706

**David Skuse, M.D., Ph.D.**

Institute of Child Health  
London, England

**Eric Lander, Ph.D.**

Whitehead Institute Center for Genome Research (MIT)  
Cambridge, Massachusetts

**"Exploring the Genetic Roots of Autism which focuses on a common phenotype expressed by people with Turner Syndrome and autism"**

First payment on 1 Year Award: \$240,200

**Neil Smalheiser, M.D., Ph.D.**

University of Illinois at Chicago  
Chicago, Illinois

**"Circulating Reelin in Autism Spectrum Disorders."**

Year 2 of 2: \$44,000

**Christoph Schmitz, M.D.**

RWTH University at Aachen  
Aachen, Germany

**"Quantitative Analysis of Histologic Alterations in Cerebral Cortex, Cerebellum & Brainstem in Autism"**

Year 1 of 2: \$49,350

**Kathleen Sulik, Ph.D.**

University of North Carolina at Chapel Hill  
Chapel Hill, North Carolina

**"CNS Dymorphogenesis in a Mouse Knockout Model for an Autism Syndrome."**

Year 2 of 2: \$50,000

**Hugo Theoret, Ph.D.**

Harvard Medical School/Israel Deaconess Medical Center  
Boston, Massachusetts

**"Motor Output & Mirror Cell Systems in Autism Studied by Transcranial Magnetic Stimulation"**

Year 1 of 2: \$57,502

Research Partner: *Nancy Laurie Marks Family Foundation*

**Poul Thorsen, M.D., Ph.D.**

Aarhus University, Denmark

**"Risk Factors for Neurodevelopmental Disorders: MMR Vaccine & Childhood"**

Year 1 of 2: \$21,075

**Chandan J. Vaidya, Ph.D.**

Children's National Medical Center/Children's Research Institute  
Washington, D.C.

**"Using Functional MRI to Examine Social & Non-social Attention regulation in Autism"**

Year 1 of 2: \$46,710

**Jerzy Wegiel, VMD, Ph.D.**

New York State Institute for Basic Research in Developmental Disabilities  
Staten Island, New York

**"Clinicopathological Correlations in Autism"**

Year 1 of 2: \$85,000

**Stephanie A. White, Ph.D.**

University of California – Los Angeles  
Los Angeles, California

**"Inside & Outside the Critical Period: Neural Substrates for Vocal Learning"**

Year 1 of 2: \$30,000

**Steven Zalcman, Ph.D.**

UMDMJ - New Jersey Medical School  
Newark, New Jersey

**"Cytokines, Monoamines and Stereotypical Motor Activity."**

Year 2 of 2: \$47,142.

**Lonnie Zwaigenbaum, M.D., Susan Bryson, Ph.D., Peter Szatmari, M.D., FRCPC, Wendy Roberts, M.D., FRCPC.**

McMaster University (Canada)

**"Identifying Early Markers of Autism: a Longitudinal Study of Infant Siblings."**

Year 2 of 2: \$49,841.

**Prior Year Grant Revisions: \$45,868**

**TOTAL GRANTS AND ALLOCATIONS: \$3,038,845**

**NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.**

Continuation of Form 990, Part II--Statement of Functional Expenses

Fiscal year ending June 30, 2002

ID #04-3246763

**Statement #5**

	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
CONSULTING	15,250	5,084	5,083	5,083
MEALS AND LODGING	13,675	11,686	1,508	481
CATERING & ENTERTAINMENT	3,548	448	1,260	1,840
COMPUTER PROGRAMMING	46,177	9,420	29,865	6,892
FILING FEES	8,733	1,992	6,741	
ADMINISTRATIVE SERVICES	18,142	7,610	4,861	5,671
RESEARCH MATERIALS	1,578	1,578		
INSURANCE	14,538	5,266	4,636	4,636
DUES AND SUBSCRIPTIONS	4,155	380	3,500	275
PUBLIC RELATIONS/ADVERTISING	69,399	65,893	1,762	1,744
MISCELLANEOUS	25,896	21,780	2,715	1,401
	221,091	131,137	61,931	28,023

**a. Autism Research Awards: \$3,184,696**

NAAR conducted its sixth annual meeting of its distinguished Scientific Advisory Board in April 2002 and, based on the recommendations of its advisors, determined the recipients of the 2002 NAAR Autism Research Awards. The organization received over 90 proposals from scientists throughout the U.S., Canada and Europe. This fiscal year, NAAR awarded 24 new Autism Research Awards for an initial commitment of \$1,435,161 and committed \$1,083,684 in the aggregate to the second year of 23, two-year Autism Research Awards awarded in fiscal year 2001. In addition, 2002 Research Awards in the aggregate amount of \$1,370,294 are subject to discretionary renewal and are scheduled to be disbursed through June 30, 2004, subject to satisfactory progress and availability of funds.

**b. Autism Pre-&Post-Doctoral Fellowships: \$532,784**

NAAR expanded this program to include pre-and post-doctoral mentor-based awards. This fiscal year, in addition to the NAAR Autism Research Awards noted previously, NAAR committed a total of \$520,000 for pre and post-doctoral fellowship awards. \$470,000 of the total was to 12 new pre-and post-doctoral fellowship awards; and \$50,000 of the total went towards the second year of one two-year fellowship awarded in 2001. In addition, fellowship payments in the amount of \$470,000 are subject to discretionary renewal and are scheduled to be disbursed through June 30, 2004, subject to satisfactory progress and availability of funds.

**c. Autism Tissue Program: \$309,256**

The Autism Tissue Program (ATP), the first national, parent-led effort to encourage and support post-mortem brain tissue donation, is a collaborative effort among NAAR, the Autism Society of America Foundation and the M.I.N.D. Institute at the University of California-Davis. This fiscal year, the ATP expanded its efforts regarding the urgent need for brain tissue donation for medical research. As of June 30, 2002, over 100,000 ATP brochures had been distributed nationwide, over 3,000 persons had registered with the program, and 48 tissue donations had been received. Thirty (30) autism research projects are now utilizing tissue specimens secured from the ATP. The ATP website, [www.brainbank.org](http://www.brainbank.org), continues to be updated and a source of information for both families affected by autism and investigators seeking tissue specimens.

**d. Outreach and Awareness: \$142,904**

NAAR was engaged in a variety of public education and awareness activities on behalf of autism research. The eighth issue of *NAARRATIVE*, NAAR's newsletter, was distributed to over 32,000 individuals in the U.S. and abroad as well as at conferences and symposia throughout the country. Key science feature articles from *NAARRATIVE* are also posted on NAAR's website, [www.naar.org](http://www.naar.org). The website is regularly updated throughout the year to provide new information on research tools and research developments.

NAAR's newly hired Director of Research and Programs, along with its Vice President-Medical Affairs and other members of its Medical Affairs Committee, presented at autism conferences attended by parents and professionals and distributed information on autism and autism research to family members, teachers, therapists and other professionals. Furthermore, in fiscal 2002 NAAR sponsored a Lecture Series in Westchester, NY; with Temple Grandin, Ph.D. and Fred Volkmar, M.D.

**e. Scientific Conferences and Meetings: \$57,845**

For the third successive year, NAAR co-sponsored with the NLM Family Foundation a three-day meeting of autism genetics researchers from around the world who are collaborating in their data collection and analysis. NAAR will co-sponsor the 2<sup>nd</sup> annual International Meeting for Autism Research (IMFAR) scheduled for November 2002, an interdisciplinary conference for autism researchers. NAAR Medical Affairs Committee members and staff also represented NAAR at key science conferences. NAAR, together with the Autism Society of Canada and the Canadian Institute for Health Research, has also agreed to co-sponsor a "state of the science" conference on autism research to be held in Toronto, Canada; in October, 2003.

**NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.**  
 Continuation of Form 990, Part IV Line 56 - Investment Position  
 Fiscal year ending June 30, 2002

ID #04-3246763  
**Statement #7**

	<u>Jun-30-02</u>		<u>Jun-30-01</u>	
	<u>Cost</u>	<u>Fair Value</u>	<u>Cost</u>	<u>Fair Value</u>
<b>PAINE WEBBER Short-Term Investments</b>	\$ 443,585	\$ 440,972	\$ 480,000	\$ 480,000
	<u>\$ 443,585</u>	<u>\$ 440,972</u>	<u>\$ 480,000</u>	<u>\$ 480,000</u>
		<u>\$ (2,613)</u>		

**NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.**  
 Form 990, Part II, Line 42 -- Depreciation  
 Form 990, Part IV, Lines 57a, 57b & 57c -- Fixed Assets  
 Fiscal year ending June 30, 2002

ID #04-3246763  
**Statement #8**

DESCRIPTION	DATE	BASIS	LIFE	METHOD	PRIOR DEPRECIATION	CURRENT DEPRECIATION	TOTAL ACCUM. DEP.
FURNITURE & FIXTURES	VARIOUS	10,711	3 YR.	SL	3,514	2,107	5,621
OFFICE EQUIPMENT	VARIOUS	11,098	5 YR	SL	11,099	-	11,099
ATP EQUIPMENT	VARIOUS	4,379	5 YR	SL	1,428	875	2,303
COMPUTER EQUIPMENT	VARIOUS	<u>86,997</u>	3 YR	SL	<u>33,151</u>	<u>29,266</u>	<u>62,417</u>
		<u>113,185</u>			<u>49,192</u>	<u>32,248</u>	<u>81,440</u>

**NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.**Continuation of Form 990, Part V -- List of Officers, Directors, Trustees and Key Employees  
Fiscal year ending June 30, 2002

ID #04-3246763

**Statement #9**

(A) Name and address	(B) Title and average hours per week devoted to position		(C) Compensation (If not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
PRISCA CHEN MARVIN, ESQ. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	PRESIDENT				
KAREN MARGULIS LONDON, ESQ. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
ERIC LONDON, M.D. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	V.P. DEVELOPMENT				
MARK J. KRINSKY, CPA C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
GARY K. DUBERSTEIN, ESQ. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	V.P. MEDICAL AFFAIRS				
H. ERIC CUSHING C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
C.T. GORDON, M.D. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TREASURER				
W. DONALD GOUGH C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
VICKI HENNELLY, ESQ. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
DEBORAH HILIBRAND C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
CATHY J. LURIE C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
JEFFREY R. LURIE, PH.D. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
SALLY PEDERSON C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
DAVID C. PHIFER C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
MARTIN A. SCHWARTZMAN, CFE, CIE, CPCU C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
GLENN R. TRINGALI C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	TRUSTEE	PART	NONE	NONE	NONE
KENNETH FARBER C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	CHIEF OPER. OFFICER	FULL	125,000	19,457	-
ANDY SHIH, Ph.D. C/O NAAR, 99 WALL ST., PRINCETON, NJ 08540	DIR. OF DEVELOPMENT	FULL	112,500	17,512	-
	DIR. RESEARCH & PROGRAMS	FULL	43,936	6,839	-

**NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.**

Form 990, Schedule A, Part III, Line 2d – Reimbursement of Expenses

Fiscal year ending June 30, 2002

**ID# 04-3246763**

**Statement # 10**

The National Alliance for Autism Research, Inc. reimburses trustees, officers and employees for out-of-pocket expenses connected with the performance of their responsibilities as such trustees, officers and employees.

All expenses are substantiated by receipts and other necessary documentation.

**NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.**

Form 990, Schedule A, Part III, **Note:** – Grant Qualification Statement

Fiscal year ending June 30, 2002

**ID# 04-3246763**

**Statement #11**

The National Alliance for Autism Research (NAAR) endeavors to stimulate, support and coordinate biomedical research into the causes, prevention, treatment and cure of the autism spectrum disorders and research relevant to understanding and improving the communication capabilities of individuals with autism. NAAR aims to support research in all areas that may advance its mission, including basic and applied research, both clinical and non-clinical in nature.

Research proposals submitted to NAAR, including pre-and post-doctoral fellowships and pilot study grants for biomedical research and research on communication in individuals with autism, are reviewed by members of NAAR's Scientific Advisory Board (SAB) and outside expert reviewers invited to participate in the review process. NAAR's SAB is composed of eminent researchers in the various disciplines of medicine and science relevant to autism research.

The Board of Trustees of NAAR determines which research proposals to fund taking into consideration the recommendations of the Scientific Advisory Board. Investigators of NAAR-funded research proposals are affiliated with, and under the rules and guidelines of educational, medical or charitable organizations which have received tax exempt status under Internal Revenue Code §501(c).

**NATIONAL ALLIANCE FOR AUTISM RESEARCH, INC.**  
Form 990, Schedule A, Part VI-B -- Lobbying Statement  
Fiscal year ending June 30, 2002

**ID# 04-3246763**  
**Statement #12**

NAAR joined with other national autism organizations in spearheading support for federal legislation aimed at increasing government funding of autism biomedical research. In addition to visits with key congressional representatives, NAAR uses its website, [www.naar.org](http://www.naar.org), its newsletter, *NAARRATIVE* and mailings to inform and update supporters on the status of proposed legislation.